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#### **Declaration under Rule 4.17:**

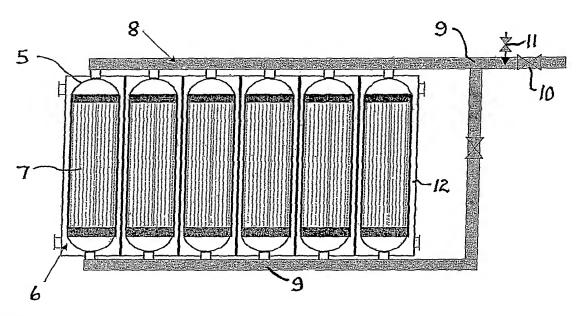
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: IMPROVED METHODS OF CLEANING MEMBRANE MODULES



(57) Abstract: A method and apparatus for backwashing a membrane filtration system wherein permeate remaining present in the filtration system wherein permeate remaining present in the filtration system when the filtration process is stopped or suspended is used to provide liquid for backwashing the membrane pores during a backwashing process.

TITLE: IMPROVED METHOD OF CLEANING MEMBRANE MODULES
TECHNICAL FIELD

The present invention relates to membrane filtrations systems and more particularly to improved methods and apparatus for cleaning the membranes used in such systems.

#### BACKGROUND OF THE INVENTION

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Membrane cleaning is a key step to the success of any membrane filtration process. Without regular cleaning the membranes become clogged with impurities and eventually inoperative. Different physical membrane cleaning strategies have been proposed and published. A summary of some typical methods is described below.

- 1. Scrubbing membranes with gas bubbles. This method was first published
  by Yamamoto et al. (Water Science Technology, Vol. 2, pages 43-54; 1989) and
  has been widely used in the low-pressure filtration processes. The shear force
  created by gas bubbles removes fouling materials on the membrane surface, but
  does little to reduce the fouling in the membrane pores.
- Backwash or back pulsing method. This method uses a reversed flow of
   fluid through the membrane pores to dislodge of fouling materials therefrom.
   Either gas or liquid can be used as a fluid in the reverse backwash.

In a PCT Published Application No. WO 03/059495, Bartels et al describe a backwash technique where the hollow fiber membranes are pressurized with a gas on a feed side at a specified time during the backwash. They describe the

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periodic use of such backwash to effectively remove fouling components from the hollow fiber membranes.

To carry out a liquid backwash, typically a liquid pump and a liquid holding tank are required. The pump delivers a permeate flow in a reverse direction to the normal filtration flow through the membrane pores to clean accumulated solids and impurities from the membranes pores. In a pressurized membrane filtration process, this requires more ancillaries. In a typical membrane filtration system, the membrane modules are connected to a manifold or other similar piping arrangement to provide for inflow of feed and removal of filtrate/permeate. At the end of filtration period, the membrane permeate side and the permeate

manifold remain filled with permeate.

### DISCLOSURE OF THE INVENTION

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The present invention seeks to make use of such permeate remaining in the manifold and in the membranes (membrane lumen or the vessel holding membranes and permeate in the case of inside-out filtration) as a source for liquid backwash.

According to one aspect, the present invention provides an improved method of backwashing a membrane filtration system including the step of using permeate remaining present in the system when the filtration process is stopped to provide liquid for backwashing the membrane pores during a backwashing process.

Preferably, a pressurized gas is employed to push the remaining permeate through the membrane pores during backwashing of the membranes.

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Preferably, the pressure of the gas applied to the permeate should be less than the bubble point of the membrane so that the gas cannot penetrate into membrane pores.

According to another aspect the present invention provides a method of filtering solids from a liquid suspension comprising:

- (i) providing a pressure differential across the walls of permeable, hollow membranes immersed in the liquid suspension, said liquid suspension being applied to the outer surface of the porous hollow membranes to induce and sustain filtration through the membrane walls wherein:
- (a) some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate from the hollow membrane lumens, and

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- (b) at least some of the solids are retained on or in the hollow membranes or otherwise as suspended solids within the liquid surrounding the membranes,
- (ii) periodically backwashing the membrane pores using the permeate remaining within the lumens by applying a gas at a pressure below the bubble point to said liquid permeate to displace at least some of the liquid permeate within the lumens through the membrane pores resulting in removal of the solids retained on or in the hollow membranes. A method of filtering solids from a liquid suspension comprising:
- (i) providing a pressure differential across the walls of permeable, hollow membranes having a liquid suspension applied to the inner surface of the permeable hollow membranes to induce and sustain filtration through the membrane walls wherein:

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- (a) some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate from the outer surface of said membranes, and
- (b) at least some of the solids are retained on or in the hollow membranes or otherwise as suspended solids within the membranes.
- (ii) stopping or suspending the filtration process;

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(iii) periodically backwashing the membrane pores using the permeate remaining after the suspension of the filtration process by applying a gas at a pressure below the bubble point to said liquid permeate to displace at least some of the liquid permeate through the membrane pores resulting in removal of the solids retained on or in the hollow membranes.

According to another aspect, the present invention provides a method of filtering solids from a liquid suspension in a filtration system comprising:

- (i) providing a pressure differential across the walls of permeable, hollow membranes having a liquid suspension applied to the inner surface of the permeable hollow membranes to induce and sustain filtration through the membrane walls wherein:
- (a) some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate from the outer surface of said membranes, and
  - (b) at least some of the solids are retained on or in the hollow membranes or otherwise as suspended solids within the membranes,
- 25 (ii) stopping or suspending the filtration process;

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(iii) periodically backwashing the membrane pores using the permeate remaining in the system after the suspension of the filtration process by applying a gas at a pressure below the bubble point to said liquid permeate to displace at least some of the liquid permeate through the membrane pores resulting in removal of the solids retained on or in the hollow membranes.

Preferably, during the backwashing step the solids are removed into the bulk liquid surrounding the membranes.

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Preferably, permeate remaining in ancillaries such as manifolds, headers, piping and the like may also be used in addition to that in the membrane lumens as a source of backwash liquid. Where insufficient permeate volume for backwash is available from these sources, a further chamber or reservoir may be provided in the permeate flow circuit to increase the amount of permeate available for backwashing when filtration is suspended.

Where a number of the modules are used in a bank and connected to a manifold for distributing feed and removing permeate, the pressurized gas may be introduced into the manifold of the bank of modules so that the permeate in the manifold can also be utilized for backwash. In the case of a filtration process where permeate is taken from both ends of the membrane module, the gas pushed backwash can be selected to apply to the either end only of the membrane modules, or to both ends at the same time, depending on the requirement.

According to another aspect the present invention provides a filtration system for removing fine solids from a liquid suspension comprising:

- (i) a vessel for containing said liquid suspension;
- (ii) a plurality of permeable, hollow membranes within the vessel;

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- (iii) means for providing a pressure differential across walls of said membranes such that some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate;
  - (iv) means for withdrawing permeate from the membranes; and

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(v) means for applying gas at a pressure below the bubble point to the liquid permeate within the system and the membrane lumens to affect a discharge of at least some of the liquid permeate in the lumens through the membrane walls to dislodge any solids retained therein and displace the removed solids into the liquid suspension surrounding the membranes.

A general backwash procedure using the improved method may involve a number or all of the following steps.

- · Filtering-down of feed level within the feed vessel using aeration gas or other low pressure gas sources;
- Scouring of membrane surfaces by flowing gas bubbles past the
   membrane surfaces;
  - Backwashing the membrane pores by flowing permeate remaining present in the system in a reverse direction to the normal filtration flow through the membrane pores;
  - Discharging of backwash waste by sweep, drain-down or by a feed and bleed process to partially discharge backwash waste;
    - Refilling the membrane vessel, venting gas on the permeate side and resuming filtration.

At the end of backwash cleaning, the concentrated backwash waste has to be discharged from the module. There are two common ways to discharge the backwash waste: drain down the concentrate from the vessel or sweep the

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vessel with the feed flow. During the sweep process, it is a common practice to pump the feed into the bottom of the membrane vessel and the plug flow sweeps out of the concentrate from the top of the vessel.

We have found that it is beneficial to inject gas, typically air, into the membrane vessel during part or whole of the sweeping period. The gas bubbles formed in the vessel by injection of gas enhance the sweeping effect and the backwash efficacy is thus improved.

According to another aspect, the present invention provides an improved method of cleaning a membrane filtration system including the step of providing gas or gas bubbles within the membrane vessel during the sweep or drain down of concentrate from the vessel during or following a backwashing, scouring and/or cleaning step.

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The sweeping with aeration of concentrate from the vessel can be partially or fully integrated with the liquid backwash step (either a pumped liquid backwash or the gas pushed liquid backwash described above).

Drain-down by gravity is a common method of discharging backwash waste from the membrane vessel. Incomplete drain-down can result in poor backwash efficiency in that highly concentrated waste may remain in the vessel and immediately re-foul the membranes on recommencement of filtration. In a system using groups of modules, there normally exists a layer of liquid waste at the bottom of the vessel after drain-down. Several improved methods can be used to reduce the impact of the remaining waste on the filtration process.

1) Gas facilitated drain-down. At the end of backwash, continue injection of the scouring gas into the feed vessel while shutting off the gas vent valve. The pressure of the scouring gas helps to facilitate the drain down. Alternatively, a pressurized gas can be applied to the feed vessel on the feed side to facilitate the drain down.

- 2) Dilute backwash waste. During a typical backwash cycle, gas scouring starts to dislodge the fouling materials from the membrane surface. The solids in the vessel can be partly drained first prior to or during the liquid backwash of the membrane pores. Due to a reduced volume of waste in the vessel, the concentration of solids is then diluted after the liquid backwash as more clean permeate comes out to the feed side of the membrane modules. In the final drain stage, even if an incomplete drain-down occurs, the solid concentration within the vessel is diluted when the vessel is re-filled with fresh feed water.
  - 3) Flush of waste at the bottom of the vessel. The remaining backwash waste at the bottom of the vessel can be flushed out by pumping the feed water rapidly through the vessel. The backwash waste can be flushed out to the discharge or to the feed inlet and mixed with the fresh feed.

#### BRIEF DESCRIPTION OF THE DRAWINGS

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Preferred embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1 is a schematic diagram of the six-module membrane filtration

bank employing an embodiment of the invention;

Figure 2 is a graph of transmembrane pressure (TMP) profile over time; and

Figure 3 is a graph of resistance over time with and without air injection during the sweep step.

PREFERRED EMBODIMENTS OF THE INVENTION

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Referring to Figure 1, the hollow fiber membrane modules 5 are mounted in the pressure vessels 6 and the filtration flow is from the shell side into the fiber lumens 7. Each of the modules 5 is connected to upper and lower manifolds 8 and 9. The upper manifold 8 is used to remove permeate withdrawn from the fiber lumens 7 during the filtration process. When the filtration process is suspended for a cleaning cycle, the manifold 8, associated piping 9 and lumens 7 remain filled with permeate. In this embodiment, a liquid backwash is achieved by closing valve 10 and applying a pressurised gas, at a pressure below the membrane bubble point, through valve 11 to the permeate to push the permeate remaining in the manifold 8 and fiber lumens 7 through the membrane pores to the shell side 12 and remove solids retained in the membrane pores.

In one example, the filtration unit was operated at filtration for 20 minutes and then switched to a backwash procedure. The backwash protocol was as follows:

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Stop filtration and start gas scouring of the fiber membrane surfaces.

- After gas scouring for 15 seconds, pressurised gas was applied through valve 11 to the permeate manifold 8 at a regulated pressure of around 2 bars to push the permeate in a reverse direction back through the membrane pores for 15 seconds.
- Solids removed by the scouring and backwashing were then swept out of the modules 5 by pumping the feed water through the vessels for 25 seconds.
  - At the end of sweep, the gas pressure was released and filtration resumed Figure 2 shows the transmembrane pressure (TMP) profile over time with the above backwash strategy. The filtration performance was steady with a

slight drop in transmembrane pressure (TMP) due to an improved feed water quality, indicating an effective backwash process.

In a further example, the effectiveness of employing air during the sweep was illustrated. In this example, eight cycles of sweeping solids from the vessel were carried out with gas being injected into the vessel and followed by the next eight cycles of sweeping without any gas injection. Figure 3 shows the resistance change during the course of both forms of sweep. It is clear that the resistance of the membrane had a slight drop when air was injected during the sweep, but started to climb when no air was supplied during the sweep.

The methods and apparatus according to the embodiments of the invention desirably may include the following advantages but are not limited to

- 1) Eliminating the backwash pump and tank holding the permeate for backwash;
- Use of a pressurized gas can easily achieve a short duration of "back pulse" that cannot be economically achieved by means of a pump;
  - Reduced liquid backwash waste;
  - 4) Low energy operation; and

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5) Applying negative transmembrane pressure (TMP) is equivalent to applied gas pressure at all points of the membrane if the lumens are totally emptied of liquid.

It will be appreciated that further embodiments and exemplifications of the invention are possible without departing from the spirit or scope of the invention described.

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#### **CLAIMS:**

- 1. A method of backwashing a membrane filtration system including the step of using permeate remaining present in the system when the filtration process is stopped to provide liquid for backwashing the membrane pores during a backwashing process.
- 2. A method of backwashing a membrane filtration system according to claim 1, including the step of using a pressurized gas to push the remaining permeate through the membrane pores during backwashing of the membranes.
- A method of backwashing a membrane filtration system according to claim
   2, wherein the pressure of the gas applied to the permeate is less than the bubble point of the membrane so that the gas does not penetrate into membrane pores.
  - 4. A method of filtering solids from a liquid suspension including:
- (i) providing a pressure differential across the walls of permeable,
   15 hollow membranes immersed in the liquid suspension, said liquid suspension
   being applied to the outer surface of the porous hollow membranes to induce
   and sustain filtration through the membrane walls wherein:
  - (a) some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate from the hollow membrane lumens, and
  - (b) at least some of the solids are retained on or in the hollow membranes or otherwise as suspended solids within the liquid surrounding the membranes,
- (ii) periodically backwashing the membrane pores using the permeate
  25 remaining within the lumens by applying a gas at a pressure below the bubble

point to said liquid permeate to displace at least some of the liquid permeate within the lumens through the membrane pores resulting in removal the solids retained on or in the hollow membranes.

- 5. A method of filtering solids from a liquid suspension according to claim 4 wherein during the backwashing step the solids are removed into the bulk liquid surrounding the membranes.
  - 6. A method of filtering solids from a liquid suspension according to claim 5 further including the step of reducing the volume of the bulk liquid before the backwashing step.
- 7. A method of filtering solids from a liquid suspension according to claim 6 wherein the volume of bulk liquid is reduced by suspending provision of said liquid suspension while continuing to provide a pressure differential across walls of said membranes and draw of permeate from the membranes.
- 8. A method of filtering solids from a liquid suspension according to any one of claims 5 to 7 including the step of removing at least part of the bulk liquid containing the removed solids by a sweep, drain-down or by a feed and bleed process to at least partially discharge the bulk liquid.
  - 9. A method of filtering solids from a liquid suspension according to any one of claims 4 to 8 including using permeate remaining in ancillaries such as manifolds, headers, piping and the like in addition to that in the membrane lumens as a source of backwash liquid.
  - 10. A method of filtering solids from a liquid suspension comprising:

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(i) providing a pressure differential across the walls of permeable, hollow membranes having a liquid suspension applied to the inner surface of the

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permeable hollow membranes to induce and sustain filtration through the membrane walls wherein:

- (a) some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate from the outer surface of said membranes, and
- (b) at least some of the solids are retained on or in the hollow membranes or otherwise as suspended solids within the membranes,
- (ii) stopping or suspending the filtration process;

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- (iii) periodically backwashing the membrane pores using the permeate remaining after the suspension of the filtration process by applying a gas at a pressure below the bubble point to said liquid permeate to displace at least some of the liquid permeate through the membrane pores resulting in removal of the solids retained on or in the hollow membranes.
- 11. A method of filtering solids from a liquid suspension according to any one of claim 4 to 10 including providing a further chamber or reservoir in a permeate flow circuit to increase the amount of permeate available for backwashing when filtration is stopped or suspended.
  - 12. A method of filtering solids from a liquid suspension according to any one of claims 4 to 11 including the further step of scouring of membrane surfaces by flowing gas bubbles past the membrane surfaces.
  - 13. A filtration system for removing fine solids from a liquid suspension comprising:
    - (i) a vessel for containing said liquid suspension;
- 25 (ii) a plurality of permeable, hollow membranes within the vessel;

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- (iii) means for providing a pressure differential across walls of said membranes such that some of the liquid suspension passes through the walls of the membranes to be drawn off as permeate;
  - (iv) means for withdrawing permeate from the membranes; and
- (v) means for applying gas at a pressure below the bubble point to the liquid permeate within the system and the membrane lumens to affect a discharge of at least some of the liquid permeate in the lumens through the membrane walls to dislodge any solids retained therein and displace the removed solids into the liquid suspension surrounding the membranes.
- 14. A filtration system according to claim 13 wherein said membranes are mounted in a number of membrane modules and the membrane modules are used in a bank and connected to a manifold for distributing liquid suspension to and removing permeate from the system.
  - 15. A filtration system according to claim 14 wherein the gas is introduced into the manifold of the bank of modules so that permeate within the manifold is utilized for backwash.

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- 16. A filtration system according to any one of claims 13 to 15 further including means to reduce the volume of liquid suspension in the vessel before the backwash so as to reduce the backwash waste volume.
- 17. A filtration system according to claim 16 wherein the volume of liquid suspension in the vessel is reduced by suspending flow of feed to the feed vessel while continuing to provide a pressure differential across walls of said membranes and withdrawal of permeate from the membranes.
  - 18. A filtration system according to claim 17 wherein the pressure differential across walls of said membranes is obtained by application of a pressurized gas.

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- 19. A method of cleaning a membrane filtration system including the step of providing gas or gas bubbles within a vessel containing the membranes during a sweep or drain down of waste containing liquid from the vessel during or following a backwashing, scouring and/or cleaning step.
- 5 20. A method according to claim 19 wherein the sweep or drain down step is partially or fully performed with a liquid backwash step.
  - 21. A method according to claim 19 or 20 including the step of applying a pressurized gas to the waste containing liquid to assist removal of the liquid from the vessel.
- 22. A method according to claim 21 wherein the sweep or drain down step is partially performed with the liquid backwash step and a further sweep or drain step is performed following the liquid backwash step.
  - 23. A method according any one of claims 19 to 22 including a step of flushing waste containing liquid from the vessel using feed liquid.

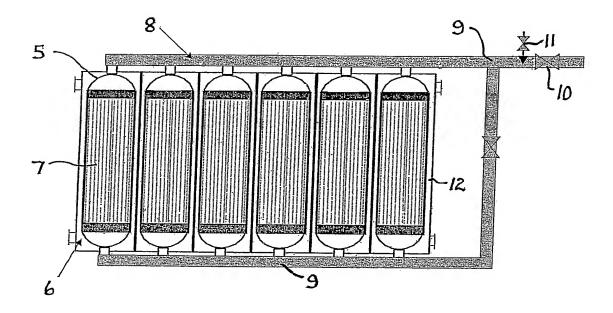


Fig. 1

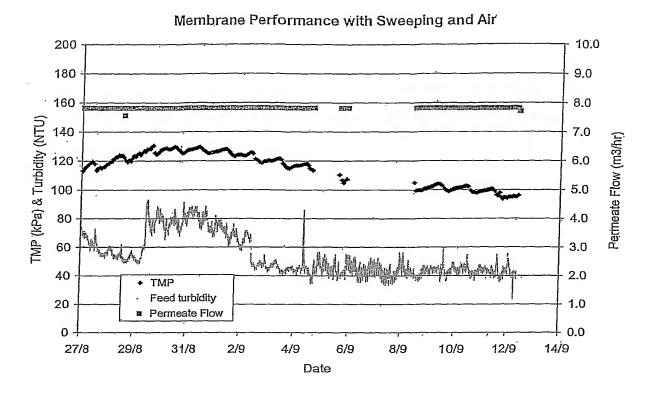


Figure 2 Membrane Filtration Performance with Air Pushed Backwash

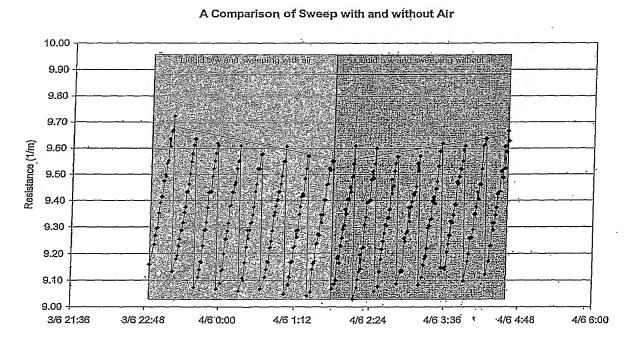


Figure 3 A Comparison of Sweep with and without Air

## **SUBSTITUTE SHEET (RULE 26)**

### INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2004/001251

A.	CLASSIFICATION OF SUBJECT MATTER						
Int. Cl. 7:	B01D 65/02						
According to	International Patent Classification (IPC) or to both	national classification and IPC					
В.	FIELDS SEARCHED						
Minimum docu	mentation searched (classification system followed by classification syste	assification symbols)					
Documentation	searched other than minimum documentation to the exte	nt that such documents are included i	n the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) DWPI: B01D 65/02 AND backwash+; B01D 13/00+ AND backwash+; B01D 65/02 AND revers+ NOT osmosis; B01D 13/00+ AND revers+ NOT osmosis. Note: B01D 13/00 from 4 <sup>th</sup> ed. IPC and earlier							
	DOCUMENTS CONSIDERED TO BE RELEVANT	•					
Category*	Category* Citation of document, with indication, where appropriate, of the relevant passages						
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х	Patent Abstracts of Japan, JP 06-190250 A (12 July 1994	MITSUBISHI RAYON CO LI	<b>TD)</b> 1-18				
X F	I urther documents are listed in the continuation	of Box C X See pate	nt family annex				
"A" documer	idered to be of particular relevance co	ter document published after the internation on flict with the application but cited to und aderlying the invention	lerstand the principle or theory				
	onal filing date or al.	ocument of particular relevance; the claims cannot be considered to involve an inven- one					
or which	is cited to establish the publication date of in	ocument of particular relevance; the claims volve an inventive step when the documer ich documents, such combination being ob	t is combined with one or more other				
	another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other means  such documents, such combination being obvious to a person skilled in the art document member of the same patent family						
	nt published prior to the international filing date than the priority date claimed						
	ual completion of the international search	Date of mailing of the international					
	October 2004  e and mailing address of the ISA/AU  Authorized officer						
	PATENT OFFICE						
1	BOX 200, WODEN ACT 2606, AUSTRALIA nail address: pct@ipaustralia.gov.au  M. Bremers						
	Telephone No : (02) 6283 3929 Telephone No : (02) 6283 2052						

### **INTERNATIONAL SEARCH REPORT**

International application No.
PCT/AU2004/001251

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C (Continuation	on). DOCUMENTS CONSIDERED TO BE RELEVANT		1
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#### INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No. **PCT/AU2004/001251** 

END OF ANNEX

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

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Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.